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PATENT & TRADEMARK OFFICE

3731

PTO/SB/21 (modified)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO
Rev. 10/95 U.S. Department of Commerce
Patent and Trademark Office

Application Number

10/033,614

Filing Date

December 26, 2001

First Named Inventor

Albert K. Chin

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Group Art Unit Number

3731

SEP 18

2002

Examiner Name

Unassigned

TECHNOLOGY CENTER R3700

Total Number of Pages in This Submission

6*

Attorney Docket Number

80121-06410

ENCLOSURES (check all that apply)

- Fee Transmittal Form (in duplicate)
 - Check Enclosed
- Return Receipt Postcard
- Response to Notice to File Missing Parts
- Assignment & Recordation Cover Sheet
- Declaration
- Power of Attorney
- Application Data Sheet
- Information Disclosure Statement & PTO-1449
 - Copies of IDS Cited References
- Request for Corrected Filing Receipt
- Request for Correction of Recorded Assignment
- Amendment/Response: [] Page(s)
 - After Final
- Status Request
- Revocation and Substitute Power of Attorney

- Issue Fee Transmittal
- Letter to Chief Draftsperson
- Formal Drawing(s):
 - [] Sheet(s) of Figure(s) []
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Certified Copy of Priority Document(s)
- After Allowance Communication to Group
-
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REMARKS: *Does not include page count of references.

SIGNATURE OF ATTORNEY OR AGENT

Signature:

A. C. Smith

Attorney/Reg. No.: Albert C. Smith/Reg. No.: 20,355

Dated:

8/27/02

CERTIFICATE OF MAILING

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PATENT

9-25

PB

#4

THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Albert K. Chin, Dwight Morejohn and Charles S. Taylor

APPLICATION NO.: 10/033,614

FILING DATE: December 26, 2001

TITLE: TEMPORARY SEAL AND METHOD FOR FACILITATING
ANASTOMOSIS

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EXAMINER: Unassigned

SEP 18 2002

GROUP ART UNIT: 3731

TECHNOLOGY CENTER R3700

ATTY. DKT. NO.: 80121-06410

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Dated: 8/27/02 By: A. C. Smith
Albert C. Smith, Reg. No.: 20,355

COMMISSIONER FOR PATENTS
WASHINGTON, DC. 20231

**INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449/PTO-8A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

- before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
 - Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - no item of information contained in this Information Disclosure Statement was cited in a communication from a

foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR.§ 1.97(c); OR
- after the payment of the issue fee. Applicant request that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. [APPLICATION NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
ALBERT K. CHIN ET AL.

Dated: 8/27/02

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

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of

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Complete if Known

Application No.	10/033,614
Filing Date	December 26, 2001
First Named Inventor	Albert K. Chin
Art Unit	3731
Examiner Name	Unassigned
Attorney Docket Number	80121-06410

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No.	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
A	US-6,409,739 B1	06-25-2002	Nobles et al.	
B	US-6,395,015 B1	05-28-2002	Borst et al.	
C	US-6,171,319	01-09-2001	Nobles et al.	
D	US-5,944,730	08-31-1999	Nobles et al.	
E	US-5,826,251	10-20-1998	Kiendl	
F	US-5,766,220	06-16-1998	Moennig	
G	US-5,749,883	05-12-1998	Halpern	
H	US-5,700,277	12-23-1997	Nash et al.	
I	US-5,690,674	11-25-1997	Diaz	
J	US-5,630,833	05-20-1997	Katsaros et al.	
K	US-5,620,461	04-15-1997	Muijs Van De Moer et al.	
L	US-5,593,422	01-14-1997	Muijs Van de Moer et al.	
M	US-5,545,178	08-13-1996	Kensey et al.	
N	US-5,496,332	03-05-1996	Sierra et al.	
O	US-5,413,571	05-09-1995	Katsaros et al.	
P	US-5,383,897	01-24-1995	Wholey	
Q	US-5,350,399	09-27-1994	Erlebacher et al.	
R	US-5,342,393	08-30-1994	Stack	
S	US-5,312,435	05-17-1994	Nash et al.	
T	US-5,258,000	11-02-1993	Gianturco	
U	US-5,222,974	06-29-1993	Kensey et al.	
V	US-5,192,301	03-09-1993	Kamiya et al.	
W	US-5,122,122	06-16-1992	Allgood	
X	US-5,108,421	04-28-1992	Fowler	
Y	US-4,852,568	08-01-1989	Kensey	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

<i>Complete if Known</i>	
Application No.	10/033,614
Filing Date	December 26, 2001
First Named Inventor	Albert K. Chin
Art Unit	3731
Examiner Name	Unassigned
Attorney Docket Number	80121-06410

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U.S. PATENT DOCUMENTS

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		Document No.		
Examiner Initials*	Cite No. ¹	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	Z	US-4794,928	01-03-1989	Kletschka
	AA	US-4,744,364	05-17-1988	Kensey

FOREIGN PATENT DOCUMENTS

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

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